

JC685 US PTO  
03/12/01UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	2685/113443
First Inventor	COAN et al.
Title	Method and Apparatus For Providing Enhanced Services at a Mobile Communication Device
Express Mail Label No.	1C92940296

APPLICATION ELEMENTS		ADDRESS TO:
See MPEP chapter 600 concerning utility patent application contents.		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i>		8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
3. <input checked="" type="checkbox"/> Specification <span style="border: 1px solid black; padding: 2px;">[Total Pages 19]</span> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>		a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> c. <input type="checkbox"/> Statements verifying identity of above copies
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="border: 1px solid black; padding: 2px;">[Total Sheets 4]</span>		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
5. Oath or Declaration <span style="border: 1px solid black; padding: 2px;">[Total Pages 1]</span> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))  <i>(for a continuation/divisional with Box 18 completed)</i> </li> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>            Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul>		10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document (if applicable)
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input checked="" type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other:

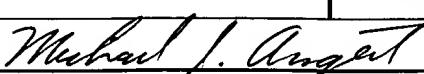
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
 Cross Reference to Related Application included in Preliminary Amendment Attached. Note: A cross reference to related application(s) must be filed with the USPTO before four months from the filing date of this continuing application.

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby Incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	23838 (Insert Customer No. or Attach bar code label here)	or <input type="checkbox"/> Correspondence address below
Name		
Address		
City	State	Zip Code
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Name (Print/Type)	Michael I. Angert	Registration No. (Attorney/Agent)	46,522
Signature			Date
			March 12, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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03/12/01

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# FEE TRANSMITTAL for FY 2001

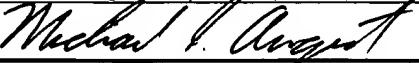
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 854)

Complete if Known	
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	COAN et al.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
	Attorney Docket No. 2685/113443

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																											
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit Account Number <input type="text" value="11-0600"/>				3. ADDITIONAL FEES Large Entity      Small Entity Fee Code      Fee (\$ )      Fee Code      Fee (\$ )      Fee Description      Fee Paid 105      130      205      65      Surcharge - late filing fee or oath 127      50      227      25      Surcharge - late provisional filing fee or cover sheet.  139      130      139      130      Non-English specification 147      2,520      147      2,520      For filing a request for reexamination 112      920*      112      920*      Requesting publication of SIR prior to Examiner action  113      1,840*      113      1,840*      Requesting publication of SIR after Examiner action  115      110      215      55      Extension for reply within first month 116      390      216      195      Extension for reply within second month  117      890      217      445      Extension for reply within third month  118      1,390      218      695      Extension for reply within fourth month  128      1,890      228      945      Extension for reply within fifth month  119      310      219      155      Notice of Appeal  120      310      220      155      Filing a brief in support of an appeal  121      270      221      135      Request for oral hearing  138      1,510      138      1,510      Petition to institute a public use proceeding  140      110      240      55      Petition to revive – unavoidable  141      1,240      241      620      Petition to revive – unintentional  142      1,240      242      620      Utility issue fee (or reissue)  143      440      243      220      Design issue fee  144      600      244      300      Plant issue fee  122      130      122      130      Petitions to the Commissioner  123      130      123      130      Petitions related to provisional applications  126      180      126      180      Submission of Information Disclosure Stmt  581      40      581      40      Recording each patent assignment per property (times number of properties)  146      710      246      355      Filing a submission after final rejection (37 CFR § 1.129(a))  149      710      249      355      For each additional invention to be examined (37 CFR § 1.129(b))  179      710      279      355      Request for Continued Examination (RCE)  169      900      169      900      Request for expedited examination of a design application  Other fee (specify) _____																																																																											
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1. BASIC FILING FEE <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Fee (\$ )</th> <th>Small Entity Fee Code</th> <th>Fee (\$ )</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>710</td> <td>201</td> <td>355</td> <td>Utility filing fee</td> <td><input type="text" value="710"/></td> </tr> <tr> <td>106</td> <td>320</td> <td>206</td> <td>160</td> <td>Design filing fee</td> <td><input type="text"/></td> </tr> <tr> <td>107</td> <td>490</td> <td>207</td> <td>245</td> <td>Plant filing fee</td> <td><input type="text"/></td> </tr> <tr> <td>108</td> <td>710</td> <td>208</td> <td>355</td> <td>Reissue filing fee</td> <td><input type="text"/></td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional filing fee</td> <td><input type="text"/></td> </tr> <tr> <td colspan="4">SUBTOTAL (1)</td> <td colspan="2">(\$ 710)</td> </tr> </tbody> </table>								Large Entity Fee Code	Fee (\$ )	Small Entity Fee Code	Fee (\$ )	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	<input type="text" value="710"/>	106	320	206	160	Design filing fee	<input type="text"/>	107	490	207	245	Plant filing fee	<input type="text"/>	108	710	208	355	Reissue filing fee	<input type="text"/>	114	150	214	75	Provisional filing fee	<input type="text"/>	SUBTOTAL (1)				(\$ 710)																															
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2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th>Total Claims</th> <th>-20**</th> <th>=</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>28</td> <td>-20**</td> <td>=</td> <td>8</td> <td><input type="text" value="18"/></td> <td><input type="text" value="144"/></td> </tr> <tr> <td>Independent Claims</td> <td>3</td> <td>-3**</td> <td>=</td> <td>0</td> <td><input type="text"/></td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td><input type="text"/></td> <td><input type="text" value="0"/></td> </tr> <tr> <td colspan="6">X <input type="text"/></td> </tr> </tbody> </table> <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Fee (\$ )</th> <th>Small Entity Fee Code</th> <th>Fee (\$ )</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td>Claims in excess of 20</td> <td><input type="text"/></td> </tr> <tr> <td>102</td> <td>80</td> <td>202</td> <td>40</td> <td>Independent claims in excess of 3</td> <td><input type="text"/></td> </tr> <tr> <td>104</td> <td>270</td> <td>204</td> <td>135</td> <td>Multiple dependent claim, if not paid</td> <td><input type="text"/></td> </tr> <tr> <td>109</td> <td>80</td> <td>209</td> <td>40</td> <td>** Reissue independent claims over original patent</td> <td><input type="text"/></td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td>** Reissue claims in excess of 20 and over original patent</td> <td><input type="text"/></td> </tr> <tr> <td colspan="4">SUBTOTAL (2)</td> <td colspan="2">(\$ 144)</td> </tr> </tbody> </table>								Total Claims	-20**	=	Extra Claims	Fee from below	Fee Paid	28	-20**	=	8	<input type="text" value="18"/>	<input type="text" value="144"/>	Independent Claims	3	-3**	=	0	<input type="text"/>	Multiple Dependent				<input type="text"/>	<input type="text" value="0"/>	X <input type="text"/>						Large Entity Fee Code	Fee (\$ )	Small Entity Fee Code	Fee (\$ )	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20	<input type="text"/>	102	80	202	40	Independent claims in excess of 3	<input type="text"/>	104	270	204	135	Multiple dependent claim, if not paid	<input type="text"/>	109	80	209	40	** Reissue independent claims over original patent	<input type="text"/>	110	18	210	9	** Reissue claims in excess of 20 and over original patent	<input type="text"/>	SUBTOTAL (2)				(\$ 144)	
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\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	Michael I. Angert	Registration No. Attorney/Agent)	46,522	Telephone	202.220.4200		
Signature					Date	March 12, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	COAN et al.
Method and Apparatus For Providing Enhanced Services at a Mobile Communication Device	TO
Atty Docket Number	2685/113443

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 12, 2001

Date



Signature

Michael I. Angert (Reg. No. 46,522)

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

10929 U.S. PTO  
03/12/01

